

STATE OF NEW YORK : DEPARTMENT OF HEALTH

IN THE MATTER

OF

HOWARD A. ZUCKER, M.D., J.D., as Commissioner of
Health of the State of New York, to determine the
action to be taken with respect to:

Ellis Hospital, Inc.

Respondent,

as operator of

Ellis Residential & Rehabilitation Center
600 McClellan Street
Schenectady, New York 12304

STIPULATION

AND

ORDER

NH-16-186

arising out of alleged violations of Article 28 of the Public
Health Law of the State of New York and Title 10 (Health)
of the Official Compilation of Codes, Rules and Regulations
of the State of New York (NYCRR)

WHEREAS, the New York State Department of Health ("the Department") has
made findings based upon inspections of the Ellis Residential & Rehabilitation Center
("the Facility"); and

WHEREAS, the Department completed its inspections of the Facility June 12,
2012 and July 17, 2014; and

WHEREAS, the findings of the Department's June 12, 2012 inspection included
two (2) alleged violations of Article 28 of the Public Health Law and 10 NYCRR Part
415, and an assessment of Twelve Thousand Dollars (\$12,000); and

WHEREAS, the findings of the Department's July 17, 2014 inspection included
four (4) alleged violations of Article 28 of the Public Health Law and 10 NYCRR Part
415, and an assessment of Eight Thousand Dollars (\$8,000); and

WHEREAS, prior to commencement of administrative enforcement action based upon the alleged violations by service of a Notice of Hearing and Statement of Charges, the Department and the Respondent engaged in settlement discussions; and

WHEREAS, the parties wish to resolve this matter by means of a settlement instead of an adversarial administrative hearing.

NOW, THEREFORE, IT IS STIPULATED AND AGREED AS FOLLOWS:

1. This matter is settled and discontinued with prejudice. The Department shall not pursue administrative enforcement action against the Respondent pursuant to Article 28 of the Public Health Law and 10 NYCRR Part 415 in connection with the Department's findings based upon the inspections completed on June 12, 2012 and July 17, 2014.

2. The Respondent admits that substantial evidence of violations of 10 NYCRR Part 415 existed under the citations set forth in the attached addendum. The foregoing admission made by the Respondent is solely for the purpose of resolving the instant administrative matter and is not intended for use in any other forum, tribunal or court. Any such admission is without prejudice to the Respondent's rights, defenses and claims in any other matter, proceeding, action, hearing or litigation not involving the Department. It is agreed that the foregoing admission is not intended to be used dispositively or otherwise, regarding any allegations of medical malpractice, personal injury and/or negligence that may be made in a civil action for monetary damages.

3. The Respondent shall pay a civil penalty of Twenty Thousand Dollars (\$20,000) within thirty (30) days after the effective date of this Stipulation and Order. Of the total civil penalty, Ten Thousand Dollars (\$10,000) is hereby assessed pursuant to

Public Health Law §§ 12(1)(a) and 206, and Ten Thousand Dollars (\$10,000) is hereby assessed pursuant to Public Health Law § 12(1)(c). This civil penalty shall be sent by certified mail and shall be made payable to the New York State Department of Health and forwarded to the New York State Department of Health, Bureau of Accounts Management, Room 2748, Corning Tower, Empire State Plaza, Albany, New York 12237-0016. Any civil penalty not paid within thirty (30) days after the effective date of this Stipulation and Order shall be subject to all provisions of law relating to debt collection by the State of New York, including, but not limited to: the imposition of interest, late payment charges, and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law §171(27); State Finance Law § 18; CPLR § 5001; Executive Law § 32].

4. Nothing herein contained shall be construed to preclude the Department from pursuing any and all sanctions or remedies authorized by the Public Health Law against any individual employed by or practicing in association with the Facility for any violations identified during the inspections referred to herein. Such sanctions and remedies may include, but are not limited to, administrative proceedings brought pursuant to Public Health Law § 2803-d (relating to patient abuse, mistreatment or neglect), Public Health Law § 230 (relating to professional medical conduct) and Public Health Law Article 28-D (relating to the practice of nursing home administration). A copy of this Stipulation and Order shall be sent to the Bureau of Nursing Home Administrator Licensure for whatever action, if any, it may deem appropriate.

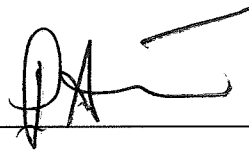
5. It is further stipulated and agreed by the Respondent and the Department that there exist valid and sufficient grounds, as a matter of fact and law, for the issuance of this Stipulation and Order under the Public Health Law and the Respondent consents to its issuance, accepts its terms and conditions and waives any right to challenge this Stipulation and Order in a proceeding pursuant to Article 78 of the Civil Practice Law and Rules or in any other action or proceeding.

6. This Stipulation and Order shall be effective upon service on the Respondent or the Respondent's attorney or representative of a fully executed copy by personal service or by certified or registered mail.

DATED: MAY 31st, New York
2016

Ellis Hospital, Inc.

BY:



Print Name:

PAUL MILTON

AGREED AND SO ORDERED:

DATED: Albany, New York
, 2016

NEW YORK STATE DEPARTMENT OF HEALTH

Paul Deskin for HAZ
HOWARD A. ZUCKER, M.D., J.D.
Commissioner of Health

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Mail Stipulation and Order to:

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Empire State Plaza
Albany, New York 12337-0029

ADDENDUM

Ellis Residential & Rehabilitation Center

<u>Date</u>	<u>Code Cite</u> <u>10 NYCRR</u>	<u>Violation</u>	<u>Civil Penalty</u> <u>Provision</u>
6/12/2012	415.12(h)(1)(2)	Quality of Care Accident Free	Public Health Law §§12(1)(c)
	415.11(c)(2)(i-iii)	Resident Assessment Care Plan Development Within 7 Days After the Comprehensive Assessment	Public Health Law §§12(1)(a) and 206
7/17/2014	415.4(b)(1)(i)	Abuse, Verbal, Sexual, Physical Physical and Mental Corporal Punishment and Involuntary Seclusion	Public Health Law §§12(1)(a) and 206
	415.4(b)(3)	Staff Treatment of Residents Investigate Report	Public Health Law §§12(1)(a) and 206
	415.26	Administration	Public Health Law §§12(1)(a) and 206
	415.27(a-c)	Administration Quality Assessment and Assurance	Public Health Law §§12(1)(a) and 206