

STATE OF NEW YORK : DEPARTMENT OF HEALTH

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IN THE MATTER

OF

NIRAV R. SHAH, M.D., M.P.H., as Commissioner of Health of  
the State of New York, to determine the action to be taken  
with respect to:

James Square Health and Rehabilitation Centre

Respondent,

as operator of

James Square Health and Rehabilitation Centre  
918 James Street  
Syracuse, New York 13203

STIPULATION

AND

ORDER

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arising out of alleged violations of Article 28 of the Public  
Health Law of the State of New York and Title 10 (Health)  
of the Official Compilation of Codes, Rules and Regulations  
of the State of New York (NYCRR)

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WHEREAS, the New York State Department of Health (the "Department") has  
made findings based upon inspections of the James Square Health and Rehabilitation  
Centre (the "Facility"); and

WHEREAS, the Department completed its inspections of the Facility on April 31,  
2011; and

WHEREAS, the Department's inspection findings included alleged violations of  
Article 28 of the Public Health Law and 10 NYCRR Part 415; and

WHEREAS, prior to commencement of administrative enforcement action based upon the alleged violations by service of a Notice of Hearing and Statement of Charges, the Department and the Respondent engaged in settlement discussions; and

WHEREAS, the parties wish to resolve this matter by means of a settlement instead of an adversarial administrative hearing.

NOW, THEREFORE, IT IS STIPULATED AND AGREED AS FOLLOWS:

1. This matter is settled and discontinued with prejudice. The Department shall not pursue administrative enforcement action against the Respondent pursuant to Article 28 of the Public Health Law and 10 NYCRR Part 415 in connection with the Department's findings based upon the inspections completed on April 13, 2011.
2. The Respondent admits that substantial evidence of violations of 10 NYCRR Part 415 existed under the citations set forth in the attached addendum. The foregoing admission made by the Respondent is solely for the purpose of resolving the instant administrative matter and is not intended for use in any other forum, tribunal or court. Any such admission is without prejudice to the Respondent's rights, defenses and claims in any other matter, proceeding, action, hearing or litigation not involving the Department. It is agreed that the foregoing admission is not intended to be used dispositively or otherwise, regarding any allegations of medical malpractice, personal injury and/or negligence that may be made in a civil action for monetary damages.
3. Pursuant to Sections 12 and 206 of the Public Health Law, the Respondent shall pay a civil penalty of Ten Thousand Dollars (\$10,000) within 30 days after the effective date of this Stipulation and Order. This civil penalty shall be sent by certified mail and shall be made payable to the New York State Department of Health and

forwarded to the New York State Department of Health, Bureau of Accounts Management, Room 1717, Corning Tower, Empire State Plaza, Albany, New York 12237-0016. Any civil penalty not paid by the date prescribed herein shall be subject to all provisions of law relating to debt collection by the State of New York. This includes, but is not limited to, the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection and non-renewal of permits or licenses [Tax Law Section 171(27); State Finance Law Section 18; CPLR Section 5001; Executive Law Section 32].

4. Nothing herein contained shall be construed to preclude the Department from pursuing any and all sanctions or remedies authorized by the Public Health Law against any individual employed by or practicing in association with the Facility for any violations identified during the inspections referred to herein. Such sanctions and remedies may include, but are not limited to, administrative proceedings brought pursuant to Public Health Law Section 2803-d (relating to patient abuse, mistreatment or neglect), Public Health Law Section 230 (relating to professional medical conduct) and Public Health Law Article 28-D (relating to the practice of nursing home administration). A copy of this Stipulation and Order shall be sent to the Bureau of Nursing Home Administrator Licensure for whatever action, if any, it may deem appropriate.

5. It is further stipulated and agreed by the Respondent and the Department that there exist valid and sufficient grounds, as a matter of fact and law, for the issuance of this Stipulation and Order under the Public Health Law and the Respondent consents to its issuance, accepts its terms and conditions and waives any right to challenge this

Stipulation and Order in a proceeding pursuant to Article 78 of the Civil Practice Law and Rules or in any other action or proceeding.

6. This Stipulation and Order shall be effective upon service on the Respondent or the Respondent's attorney or representative of a copy by personal service or by certified or registered mail.

DATED: April 16, New York, 20134 918 James Receiver, LLC

BY: Helen M Norine, Administrator  
Print Name: Helen M NORINE

AGREED AND SO ORDERED:

DATED: Albany, New York May 2, 20134 New York State Department of Health

BY: Nirav R. Shah  
NIRAV R. SHAH, M.D., M.P.H.  
Commissioner of Health

Inquiries to: Mark Fleischer, Director  
Bureau of Administrative Hearings  
Phone: (518) 473-1707  
Fax: (518) 486-1858

Mail Payment to:

New York State Department of Health  
Bureau of Accounts Management  
Corning Tower, Room 1717  
Empire State Plaza  
Albany, New York 12237-0016

ADDENDUM

James Square Health and Rehabilitation Center

<u>Date</u>	<u>Code Cite</u> <u>10 NYCRR</u>	<u>Violation</u>
April 13, 2011	415.12 (d)(2) Prevent UTI	Quality of Care Center

**NEW YORK**  
state department of  
**HEALTH**

Nirav R. Shah, M.D., M.P.H.  
Commissioner

Sue Kelly  
Executive Deputy Commissioner

May 5, 2014

Carolyn Shearer, Esq.  
Bond Schoeneck & King  
111 Washington Avenue  
Albany, New York 12210-2211

Re: James Square Health and Rehabilitation Centre  
Enforcement Action – 4/13/11 Survey

Dear Ms. Shearer:

Enclosed please find a copy of the fully executed Stipulation and Order in the above referenced matter.

In accordance with paragraph 3 of the Stipulation and Order the operator has 30 days to send payment of the civil penalty, assessed in the amount of \$10,000, to the Department's Bureau of Accounts Management, Corning Tower, Room 1717, Empire State Plaza, Albany, New York 12237-0016.

Thank you for your attention to this matter.

Very truly yours,



Mark Fleischer, Director  
Bureau of Administrative Hearings  
Division of Legal Affairs

Enclosure